

12-10-01

CPA 1711



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. Berger et al PCT

Express Mail Label No. EL 871450115 US

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CONTINUED PROSECUTION APPLICATION (CPA)
REQUEST TRANSMITTAL

(submit an original and a duplicate for processing)

- I. This is a request for a
X continuation
____ divisional

application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application no. 09/403, 557 filed on October 25, 1999, COMPONENT FOR PRODUCING POLYMER MIXTURES BASED ON STARCH, AND PROCESS FOR PRODUCING THE COMPONENT.

Notes

The above-identified application, in which no payment of issue fee, abandonment of, or termination of proceedings has occurred, is hereby expressly abandoned as of the filing date of this new application. Please use all the contents of the prior application file wrapper, including the drawings, as the basic papers for the new application.

It is understood that secrecy under 35 U.S.C. 122 is hereby waived under 35 U.S.C. 122 to the extent that if information or access is available to any one of the applications in the file wrapper of an application, be it either this application or a prior application in the same file wrapper, the PTO may provide similar information or access to all the other applications in the same file wrapper.

A continuation-in-part application is not permitted to be filed as a CPA and must be filed under 37 CFR 1.53(b).

In a CPA, reference to the prior application is not needed in the first sentence of the specification and will not be entered if submitted.

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this CPA transmittal and the documents referred to as attached therein are being deposited with the United States Postal Service on this date December 4, 2001, in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Mailing Label No. EL 871450115 US addressed to the: Box CPA, Assistant Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

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370.00 OP

Lisa L. Vulpis

(Type or print name of person mailing paper)

Lisa L. Vulpis

(Signature of person mailing paper)

NOTE: Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing (37 CFR 1.10(b)).

1. ☒ Enter the unentered amendment previously filed on October 9, 2001.
under 37 CFR 1.116 in the prior nonprovisional application.
2. ☐ A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application..
 - a. ☐ Delete the following inventor(s) named in the prior nonprovisional application

 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent is enclosed.
5. Information Disclosure Statement (IDS) is enclosed
 - a. ☐ PTO-1449
 - b. ☐ Copies of IDS Citations.

FEE CALCULATION

| CLAIMS AS FILED | | | | | |
|---|--------|--------------|---|----------|------------------------|
| Number filed | | Number Extra | | Rate | Basic Fee \$ 740.00 |
| Total claims | 17-20= | 0 | x | \$ 42.00 | 0 |
| Independent Claims (37 CFR 1.16(b)) | 2-3= | 0 | x | \$ 84.00 | 0 |
| Multiple dependent claim(s), if any (37 CFR 1.16(d)) | | 0 | x | \$280.00 | 0 |

☐ Fee for extra claims is not being paid at this time (37 CFR 1.16(d))

| | |
|---|------------------|
| Filing Fee Calculation | \$ 740.00 |
| reduction by 50% for filing by small entity | \$ 370.00 |
| TOTAL | \$ 370.00 |

NOTE: If the fees for extra claims are not paid on filing they must be paid or the claims canceled by amendment, prior to the expiration of the time period set for response by the PTO in any notice of fee deficiency. 37 CFR 1.16(d)).

6. Small Entity Statement

X A verified statement that this filing is by a small entity:

a. ___ is attached

and

b. X has been filed in the parent application and such status is still proper desired (37 CFR 1.28(a)).

c. ___ is no longer claimed.

7. X The Commissioner is hereby authorized to charge the following additional fees which may be required by this paper and during the entire pendency of the application, or to credit any overpayments to Account No. 03-2468.

X 37 CFR 1.16(a), (f) or (g) (filing fees)

X 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)

X 37 CFR 1.17 (application processing fees)

8. Attached is a check in the amount of \$ 370.00

9. Other: Petition for 3-Month Extension of Time and Copy of Petition; check for \$ 405.00; copy of 1 month Petition

10. New correspondence address :

(The PTO will use the correspondence address listed on the file of the prior application unless otherwise notified):

11. Signature of applicant, attorney or agent required:

Name: Edward R. Freedman

Signature: 

Reg. No. 26,048

Date: December 4, 2001